We Will Begin Shortly

If you are experiencing technical difficulties with AdobeConnect you can participate via Conference Call:

**Number:** (844) 419-4704  
**Participant Code:** 805-496-7601
Grants Innovation Exchange Session

Reducing Administrative Burden – A State’s Perspective

Carol Kraus, CPA, CGMS
Director, Illinois Grant Accountability and Transparency Unit

October 24, 2019
Session Overview

- Welcome
- Speaker Introduction
- Innovation Exchange
- Results-Oriented Accountability for Grants Cross Agency Priority Goal
- Q&A
- Stay Informed
Speaker: Carol Kraus, CPA, CGMS

Carol Kraus is a licensed CPA and CGMS. She started her career in public accounting specializing in state, local government and nonprofit auditing and consulting in 1992. She has served in many capacities in state government starting in 2000. Ms. Kraus served as the Chief Financial Officer for the Department of Human Services, and Co-chaired the Management Improvement Initiative Committee which was responsible for streamlining the administration of awards and grants for the State’s 5 Human Service agencies. This Initiative was very successful and ultimately led to the passage of landmark legislation to implement statewide grant reforms with the passage of the Grant Accountability and Transparency Act (GATA).

Currently, Ms. Kraus is serving as the Director of the Grant Accountability and Transparency Unit which is responsible for leading the effort of implementing GATA - establishing uniform policies and procedures in a collaborative effort between grant making agencies and grantees to remove redundancies, duplication of effort and streamlining processes while increasing accountability and transparency throughout the entire grant life cycle. GATA has resulted in saving the state hundreds of millions of dollars in centralization and automation in the implementation of 2 CFR 200. Carol currently serves on the Board of Directors for the National Grants Management Association.
In 2010, the grantee community started an initiative and passed legislation to remove redundancies and streamline the grant process for the 5 Human Service Agencies.

To make uniform grant rules, state agencies and their grantees recommended the state follow federal grant rules since the majority of grants issued in the state were federally-funded or used as matching, in-kind, or maintenance-of-effort as a condition of existing federal grants for the 5 Human Service Agencies.

The initiative was so successful it was decided to make uniform rules for all grant making agencies in the state.

Grant Accountability and Transparency Act (GATA) was signed into law in July 2014.
Communication

- No uniform processes for grant application, reporting, monitoring, and audit.
- Inconsistencies increased administrative costs with no value added.
- Noncompliant entities’ status not shared among State agencies.
- Loss of institutional knowledge.

Technology

- No statewide technology system to manage grants.
- Prevalence of redundant databases that were not supported.
- Lack of transparency and comparable data regarding grantee performance and tracking.

Resources

- Limited staff and resources available for monitoring.
- Limited financial resources.
- Turnover of grant staff resulted in gaps in processes.
GATA GOALS “Uniform Requirements”

- Assist state agencies and grantees in implementing Federal guidance at 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards (Uniform Requirements)
- Increase accountability and transparency while reducing redundant administrative burdens
- Promote cross-sector cooperative efforts – need state agency and grantee input for rulemaking and implementation recommendations

*Successful State agency oversight and successful grantees will result in a successful Illinois.*
GATA GOALS

“Uniform Requirements” cont.

- Strive to maintain a uniform process throughout the entire grant life cycle by leveraging the Uniform Guidance
- Optimize resources – Centralization and coordination of grant activities to promote efficient use of scarce resources to lessen the administrative burden on pass-through agencies and their grantees
- Focus on program outcomes
- Provide training and technical assistance for state agency staff and grantees

Successful State agency oversight and successful grantees will result in a successful Illinois.
Approximately 1,877 different grant programs administered in Illinois.

Approximately 35,000 active grant awards issued by state grant making agencies.

Approximately 8,900 unique grantees.

Over 80% of grantees have awards from more than one state agency/division, some have grants with up to 15 different agencies.
An analysis of grantees who received grants from two or more state grant making agencies/divisions revealed nearly 8,574 duplications in common requirements including:

- Registration and prequalification
- Fiscal and administrative risk assessment and Specific Conditions
- Programmatic risk assessment framework and Specific Conditions
- Indirect cost rate negotiation
- Audit report review
- Grantee training
GATA Optimizes Resources - Annually, Once and Done

Eliminate duplicate efforts through centralized compliant frameworks, processes, and templates:

- Centralized pre-qualification automation
- Centralized fiscal and administrative risk assessment and specific conditions automation
- Uniform notice of funding opportunity, grant applications, and grant agreements
- Uniform budget and periodic financial and programmatic reporting templates
- Centralized audit report reviews utilizing an Audit Report Review Management System
- Centralized negotiation of indirect cost rate agreements
- Statewide Grantee Compliance Enforcement System
- Delivery of on-demand grant training and tracking
Stakeholder participation is critical to success!
COMPLETED SOLUTIONS

- State grant information website
  - www.grants.illinois.gov
  - Catalog of State Financial Assistance
  - Grant rules, FAQs, how to apply for grants
  - Grant Training

- State grant gateway portal
  - Grantee registration and pre-qualification
  - Fiscal and Administration Internal Control Assessment
  - Audit Report Review Management System
  - Indirect Cost Rate Negotiation System
  - Grantee Compliance Enforcement System (GCES)
IMPORTANT ANNOUNCEMENT TO ALL STATE AGENCIES AND GRANTEE ORGANIZATIONS

GATA Training for Centralized Audit Report Review - Available on Demand
Please use the updated Audit Report Review Manual available in the Resource Library

COMING SOON: Indirect Cost Rate Election in the Grantee Portal FY2019

Announcing

To promote a common understanding of the Grant Accountability and Transparency Act legislation and Illinois’ commitment to compliant grant management, a one-page GATA brief is provided here.

Public release of the Catalog of State Financial Assistance (CSFA) and the Grantee Compliance Enforcement System

Catalog of State Financial Assistance

The CSFA is required by GATA and provides a single, authoritative, statewide, comprehensive source of financial assistance program information. State agencies have populated the CSFA with federal, federal pass-through and state awards received. The CSFA also records grantee awards under each CSFA number.

The public can search the CSFA for agencies with active grant programs, currently funded grants, current funding opportunities, and registered grantees. Use the CSFA tab of this website or the following link to access the public-facing CSFA: https://www2.illinois.gov/sites/GATA/Grants/SitePages/CSFA.aspx.
<table>
<thead>
<tr>
<th>Opportunity Title</th>
<th>Agency</th>
<th>Opportunity Number</th>
<th>Award Range</th>
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<tr>
<td>Motor Fuel Tax Program</td>
<td>DOT (494)</td>
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<td>State Matching Assistance Program</td>
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<td>County Consolidated Program</td>
<td>DOT (494)</td>
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<td>$85925 - $1848995</td>
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<td>High-Growth Cities Program</td>
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<td>Emergency Relief Program</td>
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<td>Statewide Planning and Research Funds</td>
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<td>Township Bridge Program</td>
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<td>NSLP Equipment Assistance Grant</td>
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<td>DHS Cluster Permanent Supportive Housing (PSH) (785)</td>
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<td>DCEO (420)</td>
<td>2019 24-1 CDBG Economic Development Program</td>
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Grantee Portal

Illinois Grant Accountability and Transparency Act
Grantee Portal

Welcome to the Illinois GATA Grantee Portal. To access the Portal you must have an Illinois.gov account.

Sign In Here  Create Account  Account Help

Grant Management System News

Frequently Asked Questions
- How to access the Illinois GATA Grantee Portal.
- How to create an Illinois.gov public account.
- How to reset your password.
- All Grantee Portal FAQs

Department of Human Services (DHS) Centralized Repository Vault (CRV) Users
If you have a DHS CRV account or an Illinois.gov partner account in the external domain, you can use it to access the Grantee Portal but you must append "@external.illinois.gov" to the end of your username.
For example: John.Doe@external.illinois.gov
For assistance with your CRV account please click here.
LONG-TERM SOLUTIONS

- State Grant Management System
- Indirect Cost Rate Election System
- Statewide Centralized Repository Vault (CRV)
- Continuous improvement of systems
## Centralized Efficiencies

<table>
<thead>
<tr>
<th>Centralized Burden Reduction</th>
<th>Total Labor Hours</th>
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<tbody>
<tr>
<td>Centralized indirect cost rate negotiations</td>
<td>432,837</td>
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<td>Centralized audit report review</td>
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<td>Centralized framework for fiscal &amp; administrative risk assessments</td>
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<td>Centralized grantee pre-qualification</td>
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<td>Automated Notice of State Award</td>
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<td>Automated Notice of Funding Opportunity</td>
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<tr>
<td><strong>Estimated Burden Reduction</strong></td>
<td><strong>1,191,986</strong></td>
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GATA is Good Government

- Landmark legislation.
- Sets the bar for grant accountability.
- Sets the bar for grant transparency.
- Lessens the administrative burden for state agencies and their grantees.
- Win-win for government and grantee community.
- Improves performance outcomes.
- Reduces risk of fraud, waste, and abuse.
- Saves State agencies and grantees hundreds of millions of dollars.
Thank You!

For further information visit our website at www.grants.Illinois.gov

Or contact us at OMB.GATA@Illinois.gov
Maximize the value of grant funding by applying a risk-based, data driven framework that balances compliance requirements with demonstrating successful results for the American taxpayer.

GOAL STATEMENT
Cross Agency Priority Goal Environment

Key Drivers of Transformation

- IT Modernization (CAP Goal 1)
- Data Accountability, and Transparency (CAP Goal 2)
- People - Workforce of the Future (CAP Goal 3)

Cross-Cutting Priority Areas
- Improving Customer Experience (CAP Goal 4)
- Sharing Quality Services (CAP Goal 5)
- Shifting From Low-Value to High-Value Work (CAP Goal 6)

Functional Priority Areas
- Category Management (CAP Goal 7)
- Results-Oriented Accountability for Grants (CAP Goal 8)
- Getting Payments Right (CAP Goal 9)
- Federal IT Spending Transparency (CAP Goal 10)
- Improve Management of Major Acquisitions (CAP Goal 11)
Grants CAP Goal Strategies

Hold recipients accountable for good performance practices that support achievement of program goals and objectives; & streamline burdensome compliance requirements for those that demonstrate results.

Achieve Goals and Objectives

Manage Risk
Leverage data, including data from annual audits, to assess & manage recipient risk.

Build Shared IT Infrastructure
Use standard business processes & data to identify opportunities to build shared solutions that reduce burden & improve the user experience.

Standardize Business Processes & Data
Standardize grants management business processes & identify, operationalize, standardize, & link data.

"Grants CAP Goal Strategies"
Strategy 1: Standardize Business Process & Data

- Version 1.0 of the Grants Management Standard Data Elements were published October 11, 2019 on USSM.gov (https://ussm.gsa.gov/fibf-gm/#standard_data_elements)

- This common language is the first step to realizing the benefits of data standardization

- The standard data elements represent a significant milestone to:
  - Improve the interoperability and quality of grants management data
  - Support the modernization of grants information technology solutions
  - Reduce recipient reporting burden
Instructions:

Please enter your questions via the chat feature via Adobe Connect; OR

Email them to GrantsTeam@omb.eop.gov
JOIN OUR COMMUNITY TODAY!

https://www.performance.gov/CAP/grants/

INNOVATION EXCHANGE SESSION SCHEDULE:
Due to the holidays, sessions will not be held the 4th Thursday of November or December. We plan to hold one on an alternate date. Stay tuned!

DO YOU HAVE AN INNOVATION YOU WOULD LIKE TO SHARE?
Please email the Grants Team at GrantsTeam@omb.eop.gov