We Will Begin Shortly

If you are experiencing technical difficulties with AdobeConnect you can participate via Conference Call:

**Number:** (844) 419-4704

**Participant Code:** 805-496-7601
Grants Innovation Exchange Session

*ReInvent Grants Management and the Grant-Recipient Digital Dossier - Analyzing HHS Data to Assess Pre-Award Risk*

Mr. Michael (Mike) Peckham  
ReInvent Grants Management Lead  
United States Department of Health and Human Services, ReImagine HHS Initiative

*December 5, 2019*
Session Overview

- Welcome
- Speaker Introduction
- Innovation Exchange Presentation
- Cross Agency Priority Goal 8: Results-Oriented Accountability for Grants
- Q&A
- Stay Informed!
Mr. Michael (Mike) Peckham is the ReInvent Grants Management Lead at the United States Department of Health and Human Services (HHS). Mike leads the Department–wide ReImagine HHS initiative and is currently the acting CFO of HHS’s Program Support Center. He brings over 30 years’ experience in federal accounting, program management, systems implementation, and grants management to lead HHS in meeting its ReImagine goals of modernizing HHS Grants Management.

Mike recently led the HHS DATA Act Program Management Office in successfully meeting the implementation goals, and also led the grants portion of the Section 5 Pilot under the statute. With a hands-on working knowledge of process improvement, Mike has managed: policy/statute interpretation and operational execution; systems modernization projects; expanded the use of electronic workflows; reporting functionality improvements; enhanced user interfaces; website updates; intergovernmental coordination on policy establishment, and extensive external outreach and engagement efforts.
ReImagining How HHS Serves the American People

HHS ReInvent Grants Management (RGM)
Grant-Recipient Digital Dossier
December 2019
ReInvent Grants Management Overview

**Mission**

To create efficiencies within grants management for HHS that can be leveraged by all stakeholders

**Vision**

Develop an HHS grants model that will also provide lessons learned to inform government-wide improvements

“The complex nature of HHS grant-making capabilities provide RGM a unique opportunity to improve processes and impact 69% of Federal Grant dollars.”
RGM’s Approach to Grants Management Improvements

Grants Management Lifecycle

Post-Award Monitoring

Award

Negotiation

Application Evaluation

Announcement

Planning

Closeout

ReInvent Grants Management Approach

**Single User Experience** – Streamline the grants user experience through central access, simplified data input, and more aligned services

**Grants Administration Improvements** – Develop process efficiencies that can be leveraged by all stakeholders across the grants lifecycle

**Performance Measurement** – Enhance performance measurements to improve decision-making during the grants lifecycle
ReInvent Grants Management Initiatives

Implement a maturity model to encourage agencies to structure grant programs that best balance program results and financial management as important aspects of stewarding tax dollars.

Develop digital tools to manage risk, such as a grantee’s past performance and financial management.

Standardize data

RGM Initiatives

Single Sign-On  Page 1 of the Notice of Award  Standard Form 425  Grants Training  Critical Path

Distributed Ledger Technology  Performance Measurement

SUE 1.0

DATA Act Test Models

PMA CAP Goal 8* Strategies

* The President’s Management Agenda (PMA) Cross-Agency Priority (CAP) Goal 8: Results-Oriented Accountability for Grants.
Distributed Ledger Technology

Initiative Goal

- Use **innovative technology** to create a better business network for grants management within HHS.

RGM Findings

- Recognized that there are **opportunities to improve and streamline grant processes** in the grants management life cycle, particularly in the grant recipient evaluation process.

Solutions Approach/Accomplishments

- Led numerous **User-Centered Design (UCD) sessions** with HHS grantors and grant recipients to identify areas of frustration and inefficiencies.
- Using blockchain technology, developed the **Grant-Recipient Digital Dossier (GDD)** that displays grant recipient information from across the government data sources and leverages artificial intelligence to interpret data.

What’s Next?

- Continue to encourage participation in upcoming UCD sessions.
- Develop a grant recipient tool to help improve overall grant management performance, including assessing and monitoring subrecipients.
Maximize the value of grant funding by applying a risk-based, data driven framework that balances compliance requirements with demonstrating successful results for the American taxpayer.

GOAL STATEMENT
Cross Agency Priority Goal Environment

Key Drivers of Transformation

IT Modernization (CAP Goal 1)

Data Accountability, and Transparency (CAP Goal 2)

People - Workforce of the Future (CAP Goal 3)

Cross-Cutting Priority Areas

Improving Customer Experience (CAP Goal 4)

Sharing Quality Services (CAP Goal 5)

Shifting From Low-Value to High-Value Work (CAP Goal 6)

Functional Priority Areas

Category Management (CAP Goal 7)

Results-Oriented Accountability for Grants (CAP Goal 8)

Getting Payments Right (CAP Goal 9)

Federal IT Spending Transparency (CAP Goal 10)

Improve Management of Major Acquisitions (CAP Goal 11)
Grants CAP Goal Strategies

**Standardize Business Processes & Data**
Standardize grants management business processes & identify, operationalize, standardize, & link data.

**Build Shared IT Infrastructure**
Use standard business processes & data to identify opportunities to build shared solutions that reduce burden & improve the user experience.

**Manage Risk**
Leverage data, including data from annual audits, to assess & manage recipient risk.

**Achieve Goals and Objectives**
Hold recipients accountable for good performance practices that support achievement of program goals and objectives; & streamline burdensome compliance requirements for those that demonstrate results.
Instructions:

Please enter your questions via the chat feature via Adobe Connect; OR

Email them to GrantsTeam@omb.eop.gov
JOIN OUR COMMUNITY TODAY!

https://www.performance.gov/CAP/grants/

INNOVATION EXCHANGE SESSION SCHEDULE:
January 23, 2020

DO YOU HAVE AN INNOVATION YOU WOULD LIKE TO SHARE?
Please email the Grants Team at GrantsTeam@omb.eop.gov