



Agency Priority Goal Action Plan

Reduce Aviation Fatalities

Goal Leaders:

Ali Bahrami, Associate Administrator for Aviation Safety, Federal Aviation Administration (FAA)

Liro Liu, Acting Deputy Associate Administrator for Aviation Safety, FAA

Steven Gottlieb, Executive Director, Office of Accident Investigation and Prevention, FAA

Warren Randolph, Deputy Executive Director, Office of Accident Investigation and Prevention, FAA

Overview

Goal Statement

U.S.-Owned Commercial Aviation

- DOT will work to reduce commercial air carrier fatalities per 100 million persons on board to no more than 5.9 by September 30, 2019.

U.S. General Aviation

- DOT will work to reduce general aviation fatal accidents to no more than 1.05 fatal accidents per 100,000 flight hours by September 30, 2019.

Challenges

- While rare, commercial aviation accidents have the potential to result in large loss of life.
- New technologies, such as unmanned aircraft systems (drones) and increased air traffic in popular corridors are emerging areas of focus for FAA.
- Aviation fatality rates in general aviation are at historic lows and continue to decrease over time. The FAA has an imperative to be smarter about how it ensures safety as the aviation industry grows more complex. The FAA's impact on general aviation is also accomplished through education and communication with individual participants in addition to organizations. Therefore, safety promotion and an individualized approach are important where there are less structured channels for communication.
- General aviation encompasses a wide variety of aircraft: gliders, single-seat home-built aircraft, helicopters, balloons, as well as sophisticated, extended range turbojets. Thus, there is a broad range of technology, operations, and capabilities of personnel involved, needing an equally broad range of contexts to address.

Overview (cont.)

Opportunities

- FAA has successfully addressed the majority of known system hazards and continues to work with aviation industry stakeholders to establish and implement safety management systems to reduce risk.
- The FAA can leverage lessons learned in commercial aviation to continually improve general aviation safety by identifying precursors to accidents, developing a greater understanding of human factors, and effectively implementing agreed-upon safety risk mitigations.



AVS EXECUTIVE LEADERS



AVS-1
Ali Bahrami
Associate
Administrator



AVS-2
Lirio Liu
Acting Deputy
Associate Administrator

AAM Office of Aerospace Medicine



AAM-1
Michael Berry, MD
Federal Air Surgeon



AAM-2
Stephen Goodman, MD
Deputy Federal
Air Surgeon



AAM-3
Melchor Antuñano, MD
Director Civil Aerospace
Medical Institute

FS Flight Standards Service



AFX-1
Rick Domingo
Executive Director



AFX-2A
Lawrence Fields
Deputy Executive
Director



AFX-2B
Rico Carty
Deputy Executive
Director

AIR Aircraft Certification Service



AIR-1
Earl Lawrence
Executive Director



AIR-2
David HEMPE
Deputy Exec. Director
Regulatory Operations



AIR-3
Chris Carter
Deputy Exec. Director
Strategic Initiatives

AVS International Strategies



AVS-5
Tricia Stacey
Director

ARM Office of Rulemaking



ARM-1
Brandon Roberts
Acting Executive
Director



ARM-2
Forest Rawls
Acting Deputy
Executive Director

AQS Office of Quality, Integration & Executive Services



AQS-1
Sunny Lee-Fanning
Executive Director



AQS-2
Heather Danner
Deputy Executive
Director

AOV Air Traffic Safety Oversight Service



AOV-1
Michael O'Donnell
Executive Director



AOV-2
Alex McDowell
Deputy Executive
Director

AUS Unmanned Aircraft Systems Integration Office



AUS-1
Jay Merkle
Executive Director



AUS-2
Bill Crozier
Deputy Executive
Director

AVP Office of Accident Investigation & Prevention



AVP-1
Steven Gottlieb
Executive Director



AVP-2
Warren Randolph
Deputy Executive
Director

Fiscal Year 2019, Quarter 1-4

Goal Structure & Strategies for Commercial Aviation

Reduce U.S.-Owned Commercial Carrier Aviation Fatalities per 100 million Persons On Board (FAA)						
		FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
U.S.-owned commercial carrier fatalities per 100 million persons on board	Targets	6.7	6.4	6.2	5.9	5.7
	Actuals	0.6	0.3	0.1	0.6*	n/a**

*as of September FY 2019. FY 2019 data will be finalized in the first quarter of FY 2020.

**n/a (not available)

FAA's strategies to accomplish the APG include the following:

- Work with stakeholders to establish and implement safety management systems to address and reduce risk within their operations and the National Airspace System;
- Collaborate with the aviation community to encourage voluntarily investing in safety enhancements that reduce the fatality risk;
- Develop and promote risk-based decision making and safety management principles that target individual pilots and technicians in both commercial and general aviation; and
- Ensure that safety risk is systematically included as part of the equation when decisions are made in the FAA.

External factors that could affect goal achievement:

- Factor 1: The FAA promotes the open exchange of safety information to continuously improve aviation safety and enable users to perform integrated queries across multiple databases. However, the broad range of operation types and scenarios in both commercial and general aviation will necessitate a variety of expertise, media, reporting channels, and outreach methods.
- Factor 2: FAA is working in partnership with the aviation community to use a data-driven, proactive approach to aid in identifying systemic risks, developing mitigation strategies, and monitoring effectiveness of implemented actions.

Mitigations

- FAA will collaborate with the aviation community to encourage voluntarily investing in safety enhancements that reduce the fatality risk.
- FAA will ensure that safety risk is systematically included as part of the equation when decisions are made.

Goal Structure & Strategies for General Aviation

Reduce U.S. General Aviation Fatal Accidents per 100,000 Flight Miles (FAA)						
		FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
U.S. General Aviation fatal accidents per 100,000 flight hours	Targets	1.02	1.01	1.00	0.98	0.97
	Actuals	0.92	0.84	0.89	0.93*	n/a**

*as of September FY 2019. FY 2019 data will be finalized in the first quarter of FY 2020.

**n/a (not available)

FAA's strategies to accomplish the APG include the following:

- Support the installation of new safety-enhancing technology in general aviation aircraft by streamlining the certification and installation process and encouraging aircraft owners to install such equipment.
- Along with new technology, human factors related to technology integration also need to be addressed. Moreover, the FAA needs to continue to focus on non-technical areas such as risk management and pilot/technician professionalism that affect safety regardless of the level of technology being employed.
- Continue implementing new Airman Testing and Training Standards to improve airman training and testing by establishing an integrated, holistic airman certification system that clearly aligns testing with certification standards, guidance, and reference materials.
- Work in partnership with industry on a data-driven approach to understand fatal accident causes and develop safety enhancements to mitigate the risk.
 - The General Aviation Joint Steering Committee (GAJSC) has developed 45 safety enhancements aimed at addressing the top causes of fatal accidents: loss of control-inflight and engine failure. These enhancements include technology, education and training, best practices, and outreach on a range of topics aimed at preventing loss of control and power plant failure-related accidents. The GAJSC's new working group to study Controlled Flight Into Terrain (CFIT) accidents has completed its analysis and will present new safety enhancements to the GAJSC for review. The FAA will work with the GAJSC to continue implementing safety enhancements.
 - The U.S. Helicopter Safety Team (USHST) has approved a total of 22 Helicopter Safety Enhancements (H-SE), and as of June 1, 2018, all 22 H-SEs have been initiated. These cover fatal accidents during Unintended Flight in Instrument Meteorological Conditions, Loss of Control-Inflight, and Low Altitude Operations. The USHST Outreach Program will focus on the top industry sectors with the highest percentage of fatal accidents.

Goal Structure & Strategies for General Aviation (cont.)

External factors that could affect goal achievement:

Factor 1: The FAA partners with industry to analyze and develop strategies using a non-regulatory, proactive, and data-driven approach to get results (e.g., the General Aviation Joint Steering Committee (GAJSC) and the United States Helicopter Safety Team (USHST). For FY 2019, this aided the FAA in accomplishing this goal.

Mitigations

- Support the installation of new safety-enhancing technology in general aviation aircraft by streamlining the certification and installation process and encouraging aircraft owners to install such equipment.
- Continue implementing the new Airman Certification Standards (ACS) to improve airman training and testing by establishing an integrated, holistic airman certification system that clearly aligns testing with certification standards, guidance, testing and change management.
- Work in partnership with industry on a data-driven approach to understand fatal accident causes and develop safety enhancements to mitigate the risks.
- Reduce pilot deviations, including Runway Incursions, caused by a lack of English language proficiency.
- Encourage the general aviation community to educate pilots and other stakeholders on the benefits of sharing (in a protected, non-punitive manner) safety data.
- Leverage FAA Safety Team (FAASTeam) program products and product delivery outreach systems. National FAASTeam Outreach Initiatives include safety articles in the FAA Safety Briefing magazine, FAAST Blast emails, aviation safety courses through the [FAASafety.gov](https://www.faa.gov/FAASafety) website, runway safety educational posters, and live safety seminars on weather, ADS-B, UAS, Loss of Control, and aeronautical decision making.

Summary of Progress – FY 19 Q1-Q4

Commercial Air Carrier Fatality Rate

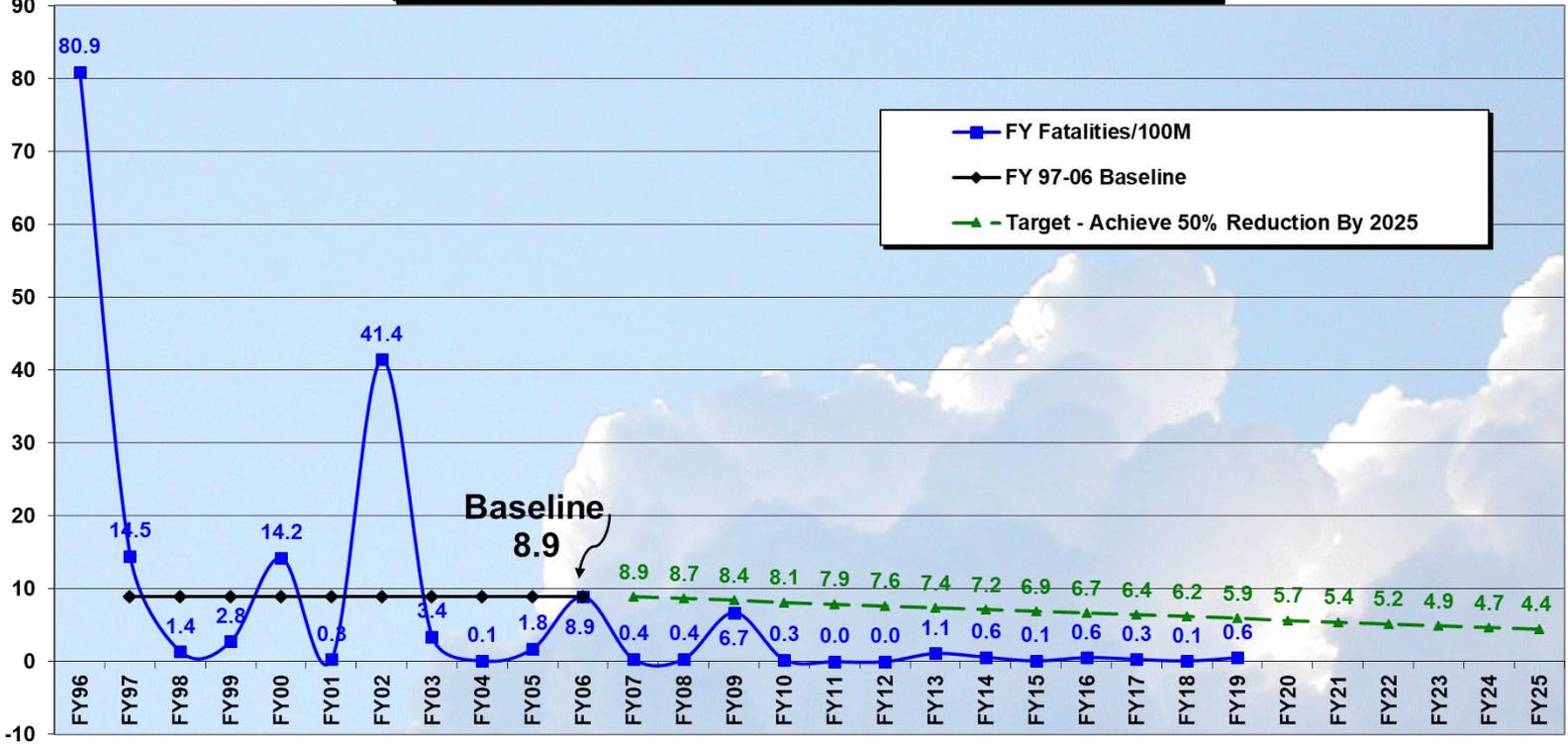
As of September 30, 2019, this target was accomplished. Year-to-date, there have been five fatalities, making the actual rate 0.6 versus a not-to-exceed rate of 5.9. These five fatalities compare against a not-to-exceed 53 for the year.

General Aviation Fatal Accident Rate

As of September 30, 2019, the target is on track. Year-to-date, there have been 244 fatal accidents, making the rate 0.93 versus a not-to-exceed rate of 0.98. These 244 fatal accidents compare against a not-to-exceed of 257. Those 244 fatal accidents resulted in a total of 428 fatalities. Of the total number of GA fatal accidents through September, 52 (21.3%) were fatal experimental accidents.

Baseline/Trends for Commercial Aviation

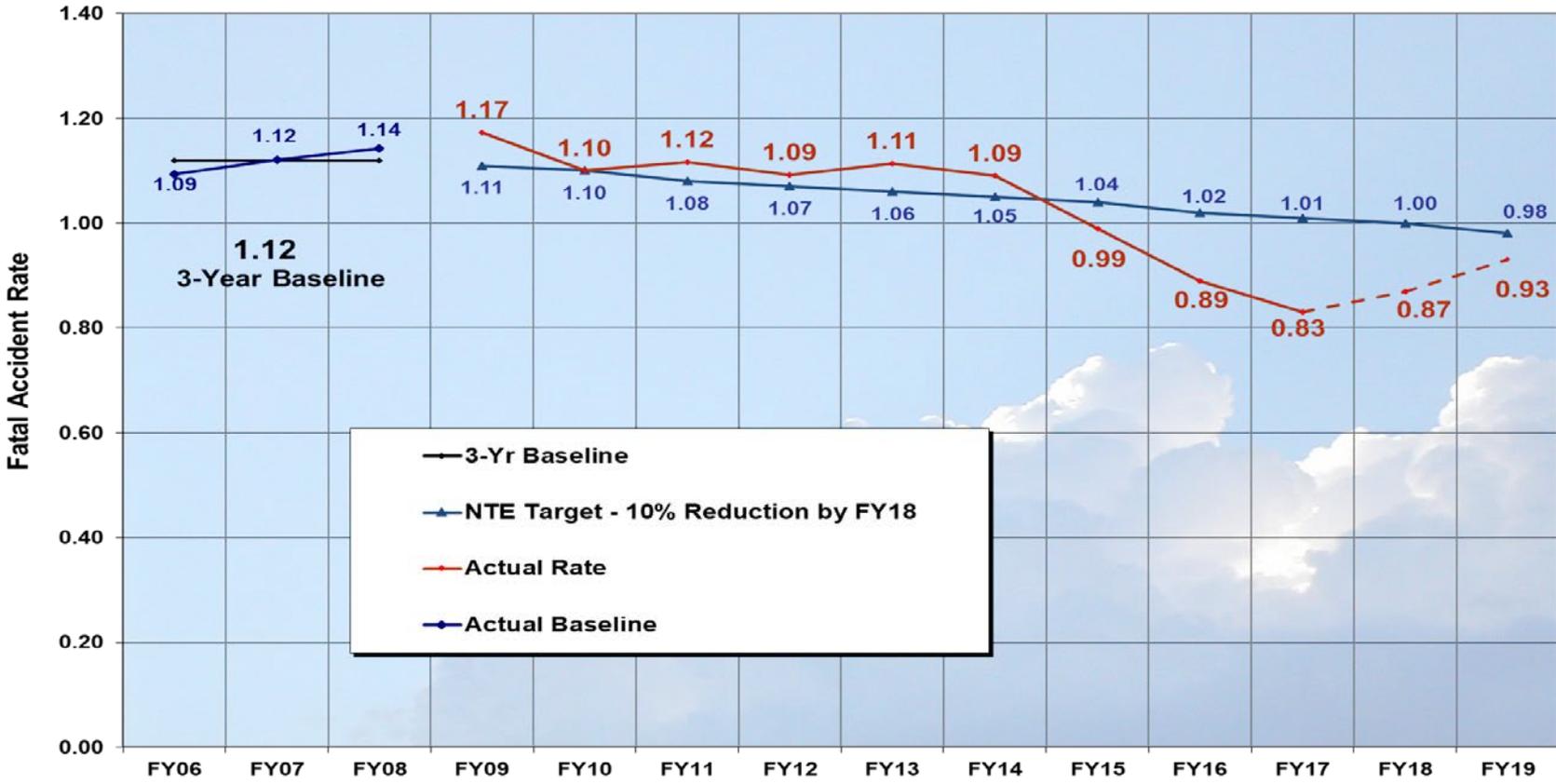
Air Carrier Fatality Rates/Targets
Fatalities per 100 Million Persons on Board
 FY19 Year-to-Date Fatalities - 5
 Fatality Threshold - 53
 FY19 Rate Assumes No Additional Fatalities



	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Total U.S. -owned commercial carrier aviation fatalities	9	5	1	5	3	1	5

Baseline/Trends for General Aviation

**AVS Safety Performance
GA Fatal Accident Rate
(Fatal Accidents/100,000 Hours)**



	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Total U.S. General Aviation Fatal Accidents	267	259	252	238	219	209	226	244

Key Milestones

Milestone Summary					
Key Milestone	Milestone Due Date	Milestone Status	Change from last quarter	Owner	Comments
Commercial Aviation Safety Team (CAST) - Continue to have meetings every two months with the aviation community and encourage voluntarily investing in safety enhancements that reduce the fatality risk	Q4 FY 2019	Complete	Milestone Met	AVP*	Work is complete and community remains highly involved
General Aviation Joint Steering Committee (GAJSC) - Continue to have meetings quarterly with the aviation community and encourage voluntarily investing in safety enhancements that reduce the fatal accident rate	Q4 FY 2019	Complete	Milestone Met	AVP	Work is complete and community remains highly involved

*Office of Accident Investigation and Prevention

Data Accuracy and Reliability

Commercial Aviation

Source of the Data

The data on commercial fatalities come from NTSB's Aviation Accident Database. All but a small share of the data for persons on board come from the air carriers, who submit information for all passengers on board to the Office of Airline Information (OAI) within BTS. In addition, FAA estimates crew on board based on the distribution of aircraft departures by make and model, plus an average of 3.5 persons on board per Part 121 cargo flight.

Statistical Issues

Both accidents and passengers on board are censuses, having no sampling error. Crew on board is an estimate with a small range of variation for any given make and model of aircraft. Departure data and enplanements for Part 121 are from the BTS. The crew estimate is based on fleet makeup and crew requirements per number of seats. For the current fleet, the number of crew is equal to about 7% of all Part 121 enplanements. The average number of cargo crew on board is 3.5 per departure, based on data from subscription services such as Air Claims (Ascend), a proprietary database used by insurers to obtain information such as fleet mix, accidents and claims.

Cargo crews typically include two flight crew members, and occasionally another pilot or company rep, or two deadheading passengers. Part 135 data also comes from BTS and Air Claims databases, but is not as complete. The Office of Aviation Policy and Plans (APO) verifies with the operators when it identifies gaps in the data. Based on previous accident and incident reports, the average Part 135 enplanement is five per departure. Crew estimates for Part 135 are based on previous accident and incident data. Any error that might be introduced by estimating crew will be very small and will be overwhelmed by the passenger census. Importantly, the fatality rate is low and could significantly fluctuate from year to year due to a single accident.

Data Accuracy and Reliability (cont.)

Commercial Aviation

Completeness

The FAA does comparison checking of the departure data collected by BTS. This data is needed for crew estimates. However, FAA has no independent data sources against which to validate the numbers submitted to BTS. FAA compares its list of carriers to the Department of Transportation list to validate completeness and places the carriers in the appropriate category (i.e., Part 121 or Part 135). The number of actual persons on board for any given period is considered preliminary for up to 18 months after the close of the reporting period. This is due to amended reports subsequently filed by the air carriers. Preliminary estimates are based on projections of the growth in departures developed by APL.

However, changes to the number of persons on board should rarely affect the annual fatality rate. To overcome reporting delays of 60 to 90 days, FAA must rely on historical data, partial internal data sources, and Official Airline Guide (OAG) scheduling information to project at least part of the fiscal year activity data. The FAA uses OAG data until official BTS data are available. The final result for the air carrier fatality rate is not considered reliable until BTS provides preliminary numbers. Due to reporting procedures in place, it is unlikely that calculation of future fiscal year departure data will be markedly improved. This lack of complete historical data on a monthly basis and independent sources of verification increases the risk of error in the activity data. NTSB and the Office of Accident Investigation and Prevention confer periodically to validate information on the number of fatalities. Accident data are considered preliminary. NTSB usually completes investigations and issues reports on accidents that occur during any fiscal year by the end of the next fiscal year. Results are considered final when all those accidents have been reported in the NTSB press release published early in the following year. FY 2015 results will therefore be final after the 2017 press release. In general, however, the number of fatalities are not likely to change significantly between the end of the fiscal year and the date they are finalized.

Reliability

Results are considered preliminary based on projected activity data. Most accident investigations are a joint undertaking. NTSB has the statutory responsibility to determine probable cause, while FAA has separate statutory authority to investigate accidents and incidents in order to ensure that FAA meets its broader responsibilities. The FAA's own accident investigators and other FAA employees participate in all accident investigations led by NTSB investigators. The FAA uses performance data extensively for program management, personnel evaluation, and accountability.

Data Accuracy and Reliability (cont.)

General Aviation

Source of the Data

The data for general aviation fatal accidents comes from the National Transportation Safety Board's (NTSB) Aviation Accident Database. Aviation accident investigators, under the auspices of the NTSB, develop the data. Annual flight hours are derived from the FAA's annual General Aviation and Part 135 Activity Survey. The FAA's Forecast and Performance Analysis Division provides current year estimates.

Statistical Issues

The NTSB finalizes the actual number of general aviation fatal accidents. Since this is a simple count of accidents, there are no statistical issues relevant to this data. The general aviation community and the GAJSC, as part of the Safer Skies initiative, recommended development of a data collection program that will yield more accurate and relevant data on general aviation demographics and utilization. Improved GA Survey and data collection methodologies have been developed. As a result of these efforts, FAA, working with the General Aviation Manufacturers Association, the NTSB, and other aviation industry associations, has made many improvements to the survey. An improved survey was initiated in FY 2004.

These annual surveys created, for the first time, a statistically valid report of activity on which the general aviation community could agree. First, the sample size has significantly increased. Second, a reporting form has been created to make it much easier for organizations with large fleets to report. Third, the agency worked with the Aircraft Registry to improve the accuracy of contact information. Each year, significant improvements are being made to substantially improve the accuracy of the data. The GAJSC General Aviation Data Improvement Team worked closely with the general aviation community and industry to develop this performance metric and target. There was unanimous support and consensus for the metric and target.

Data Accuracy and Reliability (cont.)

General Aviation

Completeness

The number of general aviation fatal accidents, even when reported as preliminary, is very accurate. NTSB and the Office of Accident Investigation and Prevention confer periodically to validate information on the number of fatalities. Initial accident data are considered preliminary. NTSB usually completes investigations and issues reports on accidents that occur during any fiscal year by the end of the next fiscal year. Results are considered final when all those accidents have been reported in the NTSB press release published early in the following year. FY 2017 results will therefore be final after the 2019 press release. In general, however, the numbers of fatalities are not likely to change significantly between the end of the fiscal year and the date they are finalized. GA Survey calendar hours are finalized by December 31 of the following year. Hence, the fatal accident rate for FY 2018 will not be considered final/complete until December 15, 2019.

Reliability

Results are considered preliminary based on projected activity data. Most accident investigations are a joint undertaking. NTSB has the statutory responsibility to determine probable cause, while FAA has separate statutory authority to investigate accidents and incidents in order to ensure that FAA meets its broader responsibilities. The FAA's own accident investigators and other FAA employees participate in all accident investigations led by NTSB investigators. The FAA uses performance data extensively for program management, and personnel evaluation and accountability.

Additional Information

Contributing Programs

Program Activities:

The FAA and the aviation industry have partnered to focus on a data-driven, proactive approach to aid in the identification of systemic risks, the development of mitigation strategies, and the monitoring of the effectiveness of implemented actions. These partnerships are the General Aviation Joint Steering Committee (GAJSC), U.S. Helicopter Safety Team (USHST), and the Commercial Aviation Safety Team (CAST).

Stakeholder / Congressional Consultations

1. The FAA Administrator meets regularly with aviation industry stakeholders as do executives and managers in Aviation Safety. There are numerous meetings throughout the year with industry associations, unions representing aviation professionals, and individual certificate holders.
2. The FAA and Aviation Safety receives feedback and input from aviation rulemaking committees and aviation rulemaking advisory committees (ARCs and ARACs) to have meaningful input to policy and rule changes. In fact, these committees are a valuable tool to collaborating with industry to have complete implementation of a change and obtain compliance in the long term.